

31042 U.S. PTO  
02/08/01

02-09-01

31042 U.S. PTO  
02/08/01  
09/779164

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 41762/DBP/C664  
Inventor(s) : Richard Lauder; Jennifer Bryce; Trefor Morgan; and Ross Halgren  
Title : OPTICAL NETWORK STRUCTURE  
Express Mail Label No. : EL483172660US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: February 8, 2001

1.  X  **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_.

Prior application information: Examiner ; Group Art Unit:

\_\_\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_.

3. **APPLICATION COMPRISED OF**

**Specification**

37  Specification, claims and Abstract (total pages)

**Drawings**

13  Sheets of drawing(s) (FIGS. 1 to 18)

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed  
 X  Unexecuted declaration  
\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_\_\_ Computer Readable Copy  
\_\_\_\_\_ Paper Copy (identical to computer copy)  
\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

7. **ALSO ENCLOSED ARE**

X  Preliminary Amendment

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

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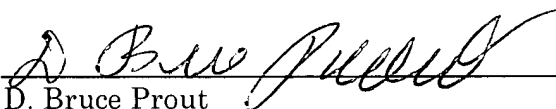
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other: A set of Red-lined Drawings with cover letter

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

02-09-01

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JC973 U.S. PRO  
09/779184

02/08/01

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

DATE: February 8, 2001

Docket No. : 41762/DBP/C664  
Inventor(s) : Richard Lauder; Jennifer Bryce; Trefor Morgan; and Ross Halgren  
Title : OPTICAL NETWORK STRUCTURE

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	18 - 20	0	0 x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	2 - 3	0	0 x \$40.00	0 x \$80.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	\$0.00
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$710.00
List Independent Claims: 1, and 18					

**METHOD OF PAYMENT**

☒ No filing fee enclosed  
☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout

D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

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#3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL 483172660US

Applicant : Richard Lauder, et al.  
Filed : February 8, 2001  
Title : OPTICAL NETWORK STRUCTURE  
Grp./Div. : N/A  
Examiner : N/A

Docket No. : 41762/DBP/C664

TRANSMITTAL OF DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
February 8, 2001

Commissioner:

Enclosed are four sheets of Red-lined drawings for this application. They are submitted as corrected drawings to replace the corresponding drawings as originally filed.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam  
AAM PAS326529.1\*-2/8/01 12:18 PM